

# **Notice of Allowability**

**Application No.**

10/613,560

**Applicant(s)**

GOSBY, DESIREE D.G.

**Examiner**

Nathan H. Brown, Jr.

**Art Unit**

2121

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the communication of June 4, 2007.
2. ☒ The allowed claim(s) is/are 1-10, 19-26, 29 and 30 renumbered as 1-10, 11-18, 19 and 20.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All   b) ☐ Some\*   c) ☐ None   of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## **Attachment(s)**

- |  |   |
|--|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)   | 5. <input type="checkbox"/> Notice of Informal Patent Application                     |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____ |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date _____    | 7. <input type="checkbox"/> Examiner's Amendment/Comment                              |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material | 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance             |
|  | 9. <input type="checkbox"/> Other _____   |

## Reasons For Allowance

1. Claims 1-10, 19-26, 29, and 30 are allowed.
2. The cited prior art, taken alone or in combination, fails to teach the claimed invention of a method for document analysis and retrieval. The closest prior art of Yi et al., "TEXT CATEGORIZATION METHOD BASED ON EXTENSION THEORY", 2003 teaches text categorization method using a vector space model (VSM) comprising a word-by-word document matrix  $A = (a_{jk})$  and a numeric range of the weight of each words ( $a_{jk}$ ) of each predefined category (This range is also known as a criterion field in Extension Theory). Examiner interprets the weighted word matrix A to perform roughly the same function as the document weight vector in claims 1 and 19 and the criterion field to perform roughly the same role as the category weight vector in claims 1 and 19.

However, the prior art of Yi et al. doesn't teach: A) category key vector of order M based on a subject matter classification for a collection of stored documents; B) document key vector of order N; C) document weight vector of order N; D) category vector of order  $M \times N$ ; E) category weight vector of order  $M \times N$ ; and F) where a distance is computed based the dot products of the document key vector and the category vector, for all M categories as set forth in claims 1 and 19.

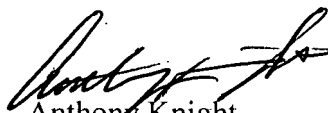
Moreover, the remaining reference of record, Hansen et al., "Cluster Analysis and Mathematical Programming", 1997 does not disclose a vector space model (VSM) comprising a word-by-word

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document matrix  $A = (a_{jk})$  and a numeric range of the weight of each words  $(a_{jk})$  of each predefined category or the elements A-F above, as specified within claims 1 and 19.

### Correspondence Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nathan H. Brown, Jr. whose telephone number is 571-272- 8632. The examiner can normally be reached on M-F 0830-1700. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight can be reached on 571-272-3687. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Anthony Knight  
Supervisory Patent Examiner  
Tech Center 2100

Nathan H. Brown, Jr.  
September 2, 2007